

# FULBRIGHT & JAWORSKI L.L.P.

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FEB 2 0 2003

TECH CENTER 1600/2900

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February 13, 2003

CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: BOX DD, Commissioner for Patents, Washington, DC 20231, on the date below:

February 13, 2003

Date

Thomas M. Boyce

**BOX DD** 

Commissioner for Patents Washington, DC 20231

RE:

U.S. Patent Application No. 10/010,081 entitled "METHODS AND COMPOSITIONS RELATING TO IMPROVED LENTIVIRAL VECTORS AND THEIR APPLICATIONS" –

Didier Trono and Patrick Salmon Our reference: CLFR:010US

Sir:

Enclosed for filing in the above-referenced patent application is a Supplemental Information Disclosure Statement, Form PTO-1449, and references A14-A25, B1-B8, C48-C49.

No fees are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/CLFR:010US.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

Thomas M. Boyce

Reg. No. 43,508

TMB/cmb
Encl.: as noted

25260841.1





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Didier Trono
Patrick Salmon

Serial No.: 10/010,081

Filed: November 9, 2001

For: METHODS AND COMPOSITIONS

RELATING TO IMPROVED

LENTIVIRAL VECTORS AND THEIR

**APPLICATIONS** 

#4

Group Art Unit: 1642

Examiner: Unknown

Atty. Dkt. No.: CLFR:010US RECEIVED

FEB 2 0 2003

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# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

#### **BOX DD**

Commissioner for Patents Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g), (h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be

construed to be an admission that the information cited is, or is considered to be, material to

patentability as defined in 37 C.F.R. § 1.56(b).

Jaworski Deposit Account No.: 50-1212/CLFR:010US.

The present Supplemental Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R § 1.97(b). No fees are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright &

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

Thomas M. Boyce Reg. No. 43,508

Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701 (512) 474-5201

Date:

February 13, 2003

Form PTO-1449 (modified) Atty. Docket No. Serial No. **RECEIVED** CLFR:010US 10/010,081 List of Patents and Publications for Applicant's **Applicant** FEB 2 0 2003 **Didier Trono** INFORMATION DISCLOSURE STATEMENT **Patrick Salmon** TECH CENTER 1600/2900 Filing Date: Group: (Use several sheets if necessary) November 9, 2001 1642 **U.S. Patent Documents Foreign Patent Documents** Other Art See Page 1 See Page 1 See Page 2

### **U.S. Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A14	2001/0009772	7/26/01	Verma et al.	435	325	3/12/01
	A15	2002/0034393	3/21/02	Mitrophanous et al.	396	661	5/18/01
	A16	2002/0034502	3/21/02	Kingsman et al.	424	93.21	7/25/01
	A17	2002/0123471	9/5/02	Uberla	514	44	3/3/98
	A18	6,013,516	1/11/00	Verma et al.	435	325	10/6/95
	A19	6,096,538	8/1/00	Kingsman et al.	435	325	5/22/96
	A20	6,168,916 B1	1/2/01	Kingsman et al.	435	5	12/16/96
	A21	6,235,522 B1	5/22/01	Kingsman et al.	435	320.1	10/17/97
	A22	6,312,682 B1	11/6/01	Kingsman et al.	424	93.2	12/28/98
	A23	6,312,683 B1	11/6/01	Kingsman et al.	424	93.2	1/27/99
	A24	6,428,953 B1	8/6/02	Naldini et al.	435	5	6/26/00
	A25	6,440,730 B1	8/27/02	Von Laer et al.	435	325	3/11/99

### **Foreign Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1	WO 00/15819	3/23/00	PCT			
	B2	WO 00/55335	9/21/00	PCT			
	В3	WO 01/27304	4/19/01	PCT			
	B4	WO 01/34843	5/17/01	PCT			
	B5	WO 01/44481	6/21/01	PCT			
	В6	WO 01/92506	12/6/01	PCT			
_	В7	WO 02/087341	11/7/02	PCT			
	B8	WO 99/04026	1/28/99	PCT			

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**EXAMINER:** 

**DATE CONSIDERED:** 

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Form PTO-1449 (modified) Atty. Docket No. Serial No. CLFR:010US 10/010,081 OIP & List of Patents and Publicati ns for Applicant's RECEIVED **Applicant Didier Trono** FEB 2 0 2003 TECH CENTER 1600/2900 INFORMATION DISCLOSURE STATEMENT **Patrick Salmon** FEB 1 9 2003 Filing Date: Group: (Use several sheets if necessary) November 9, 2001 1642 **U.S. Patent Documents Foreign Patent Documents** Other Art See Page 1 See Page 1 See Page 2

(	Other A	Art (Including Author, Title, Date Pertinent Pages, Etc.)	)
n.	Ref.	Citation	_

Exam. Init.	Ref. Des.	Citation
	C48	"A Phase I study of Ex vivo nerve growth factor gene therapy for Alzheimer's disease," sponsored by the Shiley Family Trust Institute for the Study of Aging, University of California, San Diego, Study ID Numbers IA0029, last reviewed June 2001.
	C49	"Ceregene exclusively licenses Neuturin gene from Washington Unviersity," Ceregene, Inc. Press Release, December 4, 2002.

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